

2018

## Report on the exercise of voting rights Ethos - Equities Sustainable World ex CH

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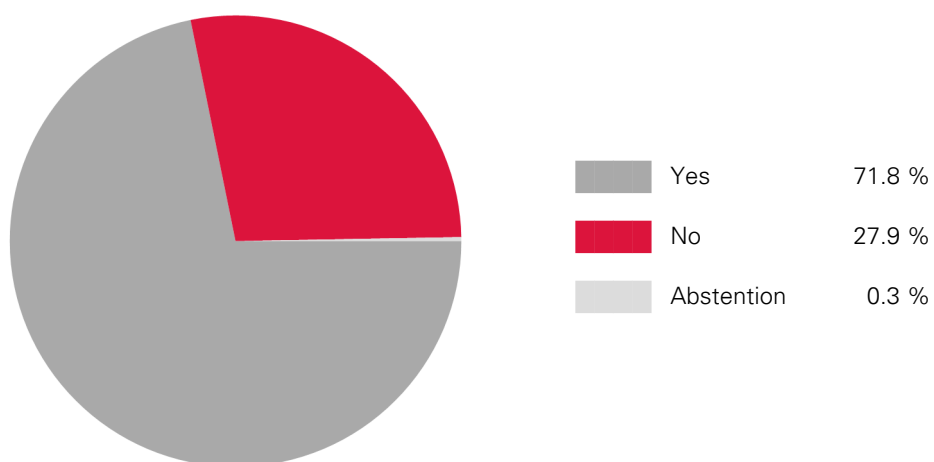
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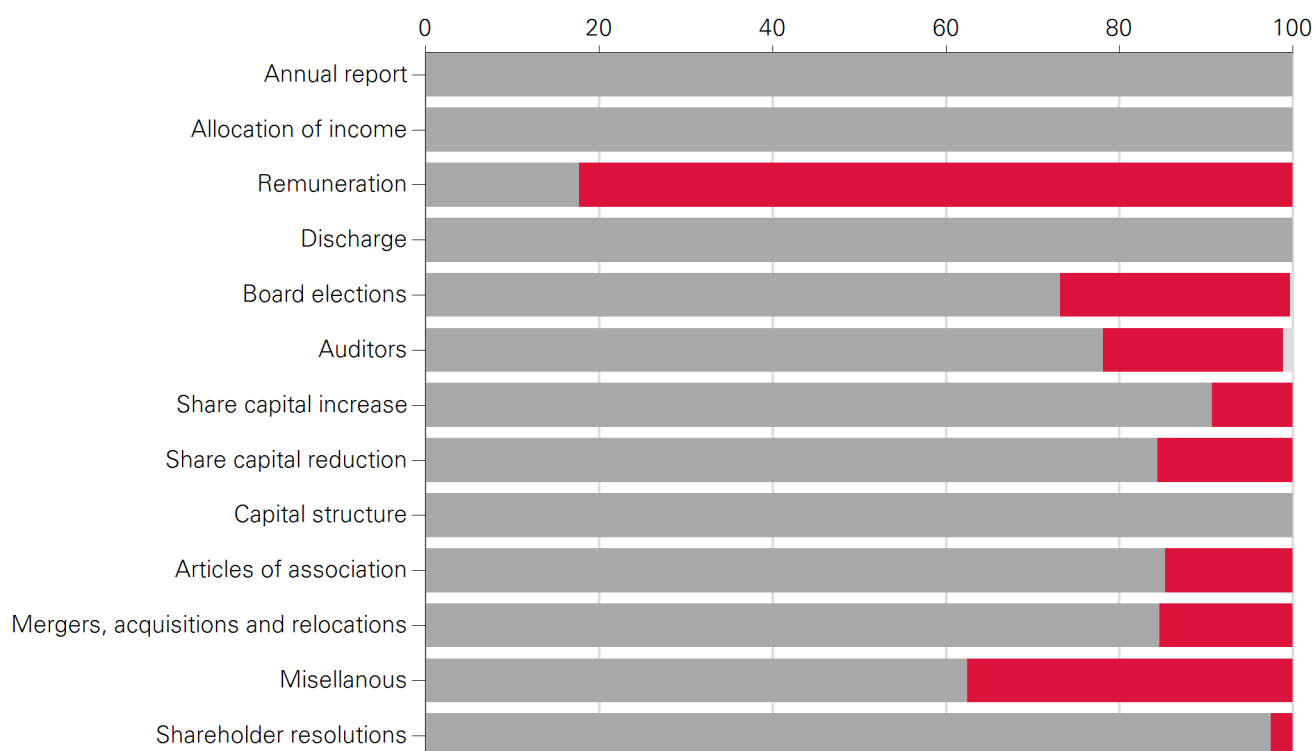
## 1 Overview of the proxy analyses

Type of General Meeting	Number of meetings	Number of Proposals			
		Total	Yes	No	Abstention
Annual general meetings	86	1265	920	341	4
Extraordinary general meetings	10	26	15	11	0
Annual and extraordinary general meetings	6	117	76	41	0
<b>Total</b>	<b>102</b>	<b>1408</b>	<b>1011</b>	<b>393</b>	<b>4</b>

### 1.1 Ethos voting positions



## 1.2 Ethos voting positions per category of proposal



	■ Proposals approved		■ Proposals refused		■ Abstain		Number of proposals
Annual report	25	100.0%	0	0.0%	0	0.0%	25
Allocation of income	24	100.0%	0	0.0%	0	0.0%	24
Remuneration	23	17.7%	107	82.3%	0	0.0%	130
Discharge	12	100.0%	0	0.0%	0	0.0%	12
Board elections	649	73.2%	235	26.5%	3	0.3%	887
Auditors	68	78.2%	18	20.7%	1	1.1%	87
Share capital increase	39	90.7%	4	9.3%	0	0.0%	43
Share capital reduction	27	84.4%	5	15.6%	0	0.0%	32
Capital structure	1	100.0%	0	0.0%	0	0.0%	1
Articles of association	29	85.3%	5	14.7%	0	0.0%	34
Mergers, acquisitions and relocations	11	84.6%	2	15.4%	0	0.0%	13
Misellaneous	25	62.5%	15	37.5%	0	0.0%	40
Shareholder resolutions	78	97.5%	2	2.5%	0	0.0%	80

## 2 Overview of the voting recommendations

### Type of General Meeting (Type)

AGM	Annual general meetings
EGM	Extraordinary general meetings
MIX	Annual and extraordinary general meetings

### Votings

✓	For
◐	Partly for
✗	Oppose
✕	Abstain

Company	Date	Type	Annual report	Allocation of income	Remuneration	Discharge	Board elections	Auditors	Share capital increase	Share capital reduction	Capital structure	Articles of association	Mergers, acquisitions and relocations	Misellaneous	Shareholder resolutions
3M Company	08.05.2018	AGM			✗		◐	✓							✓
Accenture	07.02.2018	AGM			✗		◐	✓	✓			✓	✓	✓	
Aetna	18.05.2018	AGM			✗		◐	✓							✓
AFLAC	07.05.2018	AGM			✗		◐	✓							
Agilent Technologies	21.03.2018	AGM			✗		✓	✓							
AGNC Investment	19.04.2018	AGM			✗		◐	✓	✗						
Allianz	09.05.2018	AGM	✓		✗	✓			✓	✓				✓	
American Express Company	07.05.2018	AGM			✗		◐	✓							✓
Amgen	22.05.2018	AGM			✗		◐	✓							✓
Annaly Capital Management	23.05.2018	AGM			✗		◐	✓							
Apple	13.02.2018	AGM			✗		✓	✓							✓
Aptiv	26.04.2018	AGM			✗		✓	✗							
Arrow Electronics	10.05.2018	AGM			✗		◐	✓							
Astellas Pharma	15.06.2018	AGM		✓	◐		✓					✓			
AXA	25.04.2018	MIX	✓	✓	✗		✓	✗	✓	✓		✓		✓	
Bank of East Asia	11.05.2018	AGM	✓				✗	✓	✓	◐					
Biogen Idec	12.06.2018	AGM			✗		✓	✓							✓
Bristol-Myers Squibb	01.05.2018	AGM			✗		◐	✗							✓
CA Technologies	08.08.2018	AGM			✗		✓	✓							
	12.09.2018	EGM			✗								✓	✗	
Canadian Imperial Bank of Commerce	05.04.2018	AGM			✗		◐	✓							

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Canadian National Railway	24.04.2018	AGM			✗		🟡	✗							
Canon	29.03.2018	AGM		✓	✓		🟡							✓	
Capital One Financial	03.05.2018	AGM			✗		🟡	✓				✗			
Carlsberg	14.03.2018	AGM	✓	✓	✓		🟡	✓		✓					
CBS	18.05.2018	AGM			✗		🟡	✗							
Cigna	25.04.2018	AGM			✗		🟡	✓				✓			
	24.08.2018	EGM											✗	✗	
Cisco Systems	12.12.2018	AGM			🟡		🟡	✓							✓
Citigroup	24.04.2018	AGM			✗		✓	✓							✓
Coca-Cola	25.04.2018	AGM			✗		🟡	✓							
Cognizant Technology Solutions	05.06.2018	AGM			🟡		🟡	✗				✓			✓
Colgate-Palmolive	11.05.2018	AGM			✗		🟡	✓							✓
Comcast	11.06.2018	AGM			✗		🟡	✓							✓
Compass Group	08.02.2018	AGM	✓	✓	✗		🟡	✓	✓	✓				🟡	
Conagra Brands	21.09.2018	AGM			✗		✓	✓							
Cummins	08.05.2018	AGM			✗		🟡	✓				✓			✓
CVS Health	13.03.2018	EGM											✗	✗	
	04.06.2018	AGM			✗		✓	✓				✓			✓
Diageo	20.09.2018	AGM	✓	✓	✗		🟡	✓	✓	✓		✗		🟡	
Ebay	30.05.2018	AGM			✗		✓	✓							✓
Eli Lilly	07.05.2018	AGM			✗		🟡	✓				✓			🟡
Express Scripts	24.08.2018	EGM			✗								✓	✗	
Fujifilm Holdings	28.06.2018	AGM		✓	✓		🟡							✓	
General Mills	25.09.2018	AGM			✗		🟡	✓							✓
Gilead Sciences	09.05.2018	AGM			✗		🟡	✓							✓
GlaxoSmithKline	03.05.2018	MIX	✓		✗		✓	✓	✓	✗		✓	✓	🟡	
Goldman Sachs Group	02.05.2018	AGM			✗		🟡	✓							✓
Hitachi Ltd.	20.06.2018	AGM					🟡				✓				
Home Depot	17.05.2018	AGM			✗		🟡	✓							✓
HP	24.04.2018	AGM			✗		✓	✗							✓
IBM	24.04.2018	AGM			✗		🟡	✗							✓
ING Groep	23.04.2018	AGM	✓	✓		✓	✓		✓	✓					

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Intel	17.05.2018	AGM			✗		🟡	✔							✔
Interpublic Group of Companies	24.05.2018	AGM			✗		🟡	✔							✔
Johnson & Johnson	26.04.2018	AGM			✗		🟡	✗							✔
JPMorgan Chase	15.05.2018	AGM			✗		🟡	✔				✔			✔
KDDI Corp	20.06.2018	AGM	✔	✔			🟡					✔		✔	
KLA-Tencor	07.11.2018	AGM			✗		🟡	✔							
Li & Fung	31.01.2018	EGM											✔		
Link REIT	25.07.2018	AGM					✔			✔		✔			
L'Oréal	17.04.2018	MIX	✔	✔	✗		🟡		🟡	✔		✗		✔	
Manpower	04.05.2018	AGM			✗		🟡	✔							
McDonald's	24.05.2018	AGM			✗		🟡	✔							✔
Merck	22.05.2018	AGM			✔		🟡	✔							✔
Mondelez International	16.05.2018	AGM			✗		🟡	✔							✔
Motorola Solutions	14.05.2018	AGM			✗		🟡	✔							✔
MTR	16.05.2018	AGM	✔	✔			✔	✗	✔	✔					
Nike	20.09.2018	AGM			✗		🟡	✔							✔
Novo Nordisk	22.03.2018	AGM	✔	✔	🟡		🟡	✗		✔					
NTT Docomo	19.06.2018	AGM		✔			🟡							✔	
Pernod-Ricard	21.11.2018	MIX	✔	✔	🟡		🟡		✔	✗		🟡		🟡	
Pfizer	26.04.2018	AGM			🟡		🟡	✔							✔
Principal Financial Group	22.05.2018	AGM			✗		🟡	✔							
Procter & Gamble	09.10.2018	AGM			✗		🟡	✔							
ProLogis	02.05.2018	AGM			✔		🟡	✔							
Qualcomm	06.03.2018	AGM			🟡		🟡	✗				✔			✗
Reckitt Benckiser	04.05.2018	AGM	✔	✔	✗		🟡	✔	✔	✗				🟡	
RELX NV	18.04.2018	AGM	✔	✔		✔	✔	✔	✔	✔					
	28.06.2018	EGM				✔						✔	✔		
RELX PLC	19.04.2018	AGM	✔	✔	✗		✔	✔	✔	✔				✗	
	27.06.2018	EGM			✔								✔		
Rockwell Automation	06.02.2018	AGM			✗		✔	✔							
Sanofi	02.05.2018	MIX	✔	✔	✗		🟡	✗		✔		✔		✔	
SAP	17.05.2018	AGM		✔	✗	✔	🟡	✗		✔		✔			

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Schneider Electric	24.04.2018	MIX	✓	✓	✗		ⓘ	✓	✗	✓				ⓘ	
Seven & I Holdings Co.	24.05.2018	AGM		✓	✗		ⓘ								
Skandinaviska Enskilda Banken	26.03.2018	AGM	✓	✓	ⓘ	✓	ⓘ	✗	✓	✓				✓	
Solvay	08.05.2018	AGM	✓		✗	✓	ⓘ	✓							
Stryker	02.05.2018	AGM			✗		ⓘ	✗							
Sun Hung Kai Properties	08.11.2018	AGM	✓	✓	✗		ⓘ	✓	✓	✓					
Sun Life Financial	09.05.2018	AGM			✗		✓	✓							
Swire Properties	08.05.2018	AGM					ⓘ	✓	✓	✓					
Target	13.06.2018	AGM			✗		ⓘ	✓							✓
The Hershey Company	02.05.2018	AGM			✗		✓	✓							
TJX	05.06.2018	AGM			✗		ⓘ	✓							✓
	22.10.2018	EGM										✓			
Twenty-First Century Fox	27.07.2018	EGM			✗							✓	✓	✗	
	14.11.2018	AGM			✗		ⓘ	✗							✓
Unilever PLC	02.05.2018	AGM	✓		✗		✓	✓	✓	✗				ⓘ	
Verizon Communications	03.05.2018	AGM			✗		ⓘ	✗							✓
Walt Disney	27.07.2018	EGM											✓	✗	

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